



UNITED STATES DEPARTMENT OF EDUCATION

OFFICE OF MANAGEMENT

DIRECTOR

MAR 18 2002

Ms. Laura L.S. Kimberly  
Acting Director  
Information Security Oversight Office  
National Archives and Records Administration  
700 Pennsylvania Avenue, NW  
Washington, DC 20408

Dear Ms. Kimberly:

This is in response to your February 11, 2002, letter to Lorraine Lewis, Inspector General, requesting the Department of Education's "2003 Security Costs Estimates Display." You also requested negative reports.

As you know, the Department of Education does not have original classification authority. Historically, we have not had 51% or more of a resource devoted to a classification related activity. Moreover, on a part-time basis our resources devoted to these activities over a year have not added up to 51%. Although events over the past few months have increased our activity, at the present time, we cannot predict whether we will have to devote more than 51% of a resource to such activity in the future, either on a part-time or full-time basis.

Should you have any questions concerning our classification related activities, please contact Ms. Sandra Warren, Security Officer, at 202-205-9780.

Sincerely,

A handwritten signature in black ink, appearing to read "Willie H. Gilmore".

Willie H. Gilmore

Enclosure

*Reed 3/27/02*

## 2003 Security Costs Estimates Display

Name of Department/Agency: Department of Education

Reporting Categories	FY 2001 (Est. in \$000s)	FY 2002 (Est. in \$000s)	FY 2003 (Est. in \$000s)
1. Personnel Security	0	0	0
2. Physical Security	0	0	0
3. Information Security (Sum of a,b & c below)	0	0	0
a. Classification Management	0	0	0
b. Declassification	0	0	0
c. Information Technology (Electronic Security)	0	0	0
4. Professional Education, Training and Awareness	0	0	0
5. Security Management, Oversight and Planning	0	0	0
6. Unique Items	0	0	0
Totals: Fiscal Year Estimates	0	0	0
Totals: Full-Time Equivalents (FTE)	0	0	0

**NARRATIVE:** To date the Department of Education has not had 51% or more of a resource devoted to classification related activities, nor do our part time resources devote 51% total time to this activity.



UNITED STATES DEPARTMENT OF EDUCATION

OFFICE OF MANAGEMENT

MAR 19 2003

ASSISTANT SECRETARY

Rec'd 3/24/03

Laura L.S. Kimberly  
Associate Director for Policy  
Information Security Oversight Office  
National Archives and Records Administration  
700 Pennsylvania Avenue, NW  
Washington, DC 20408

Dear Ms. Kimberly:

This is in response to your February 7, 2003, letter requesting the Department of Education's report on cost estimates for security classification activities. You also requested negative reports.

As you know, the Department of Education does not have original classification authority. Historically, we have not had 51% or more of a resource devoted to classification related activities. Moreover, on a part-time basis our resources devoted to these activities over a year do not add up to 51%. Although events over the past few months have increased our activity, at the present time we cannot predict whether we will have to devote more than 51% of a resource in the future on such activities, either on a full-time or part-time basis.

If you have any questions concerning our security classification related activities, please contact Ms. Sandra Warren, Security Officer, at 202-205-9780.

Sincerely,

A handwritten signature in black ink, appearing to read "W. J. Leidinger".

William J. Leidinger

Enclosure

## 2004 Security Costs Estimates Display

**Name of Department/Agency:** Department of Education

Reporting Categories	FY 2002 (Est. in \$000s)	FY 2003 (Est. in \$000s)	FY 2004 (Est. in \$000s)
1. Personnel Security	0	0	
2. Physical Security	0	0	
3. Information Security (Sum of a,b & c below)	0	0	
a. Classification Management	0	0	
b. Declassification	0	0	
c. Information Technology (Electronic Security)	0	0	
4. Professional Education, Training and Awareness	0	0	
5. Security Management, Oversight and Planning	0	0	
6. Unique Items	0	0	
Totals: Fiscal Year Estimates	0	0	
Totals: Full-Time Equivalents (FTE)	0	0	

**NARRATIVE:** The Department of Education does not have 51% or more of a resource devoted to security classification related activities, nor do our part-time resources devote 51% total time to such activities.





UNITED STATES DEPARTMENT OF EDUCATION

OFFICE OF MANAGEMENT

ASSISTANT SECRETARY

Laura L. S. Kimberly  
Associate Director for Policy  
Information Security Oversight Office  
National Archives and Records Administration  
700 Pennsylvania Avenue NW  
Washington, DC 20408

MAR 19 2004

Dear Ms. Kimberly:

This is in response to your February 2, 2004, letter requesting the Department of Education's "Report on Cost Estimates for Security Classification Activities."

As you know, the Department of Education (hereafter Department) does not have original classification authority. Historically, the Department has not had 51% or more of a resource devoted to classification-related activities. Moreover, on a part-time basis the Department's resources devoted to these activities over a year do not add up to 51%.

However, during the current Fiscal Year, the Department has incurred one-time Physical Security costs as a result of classification-related activities. The Department secured space for emergency operations, and installed secure communications devices.

If you have any questions concerning the Department's security classification-related activities, please do not hesitate to contact Ms. Sandra Warren, Supervisory Security Officer, at 202-205-9780.

Sincerely,

A handwritten signature in black ink, appearing to read "W. Leidinger".

William J. Leidinger

Enclosure

MAR 24 2004

400 MARYLAND AVE., S.W., WASHINGTON, DC 20202-4500  
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## 2005 Security Costs Estimates Display

**Name of Department/Agency:** Department of Education

Reporting Categories	FY 2003 (Est. in \$000s)	FY 2004 (Est. in \$000s)	FY 2005 (Est. in \$000s)
1. Personnel Security	-0-	-0-	-0-
2. Physical Security	-0-	\$59,000	\$6,000
3. Information Security (Sum of a, b, c & d below)	-0-	-0-	-0-
a. Classification Management	-0-	-0-	-0-
b. Declassification	-0-	-0-	-0-
c. Information Systems Security	-0-	\$4,000	-0-
d. Miscellaneous (OPSEC & TSCM)	-0-	-0-	-0-
4. Professional Education, Training and Awareness	-0-	-0-	-0-
5. Security Management, Oversight and Planning	-0-	-0-	-0-
6. Unique Items	-0-	-0-	-0-
Totals: Fiscal Year Estimates	-0-	\$63,000	-0-
Totals: Full-Time Equivalents (FTEs)	-0-	-0-	-0-

**NARRATIVE:** The Department of Education does not have 51% or more of a resource devoted to security classification related activities, nor do our part-time resources devote 51% total time to such activities. However, the \$59,000 for FY 2004 for Physical Security was a one-time expenditure to secure space for emergency operations. The \$4,000 for FY 2004 for Information Systems Security is for installation and maintenance of three (3) STU Ills. The \$6,000 for FY 2005 for Physical Security includes an estimate for an alarm to protect classified storage locations that may be required as a result of a pending ISOO inspection.



UNITED STATES DEPARTMENT OF EDUCATION

OFFICE OF MANAGEMENT

ASSISTANT SECRETARY

MAR 31 2005

Mr. J. William Leonard  
Director  
Information Security Oversight Office  
National Archives and Records Administration  
700 Pennsylvania Avenue, NW  
Washington, DC 20408-0001

Dear Mr. Leonard:

This is in response to your February 11, 2005, letter requesting the Department of Education's "Report on Cost Estimates for Security Classification Activities." Enclosed is our FY 2006 Security Costs Estimates Display form.

As you know, the Department of Education does not have original classification authority. Our expenditures related to security classification activities are for the construction of an alternate Emergency Operations Center that will be shared by two or more agencies, secure communication capability, and alarm systems and monitoring, otherwise we do not devote 51% or more of a resource to such activities.

If you have any questions concerning the Department's security classification-related activities, please do not hesitate to contact Ms. Winona Varnon, Director of Security Services, at 202-401-1583.

Sincerely,

A handwritten signature in black ink, appearing to read "W. Leidinger".  
William J. Leidinger

Enclosure

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# FY 2006 Security Costs Estimates Display

**Name of Department/Agency:** Department of Education

(Please use actual dollar figures instead of thousands)

Reporting Categories	FY 2004	FY 2005	FY 2006
<b>1. Personnel Security</b>			
<b>2. Physical Security</b>	\$60,200.00	\$1,800.00	\$101,800.00
<b>3. Information Security</b>			
(a.) Classification Management	-0-	-0-	-0-
(b.) Declassification	-0-	-0-	-0-
(c.) Information Systems Security for Classified Information	-0-	\$5,400.00	-0-
(d.) Miscellaneous (OPSEC & TSCM) <b>[Maintenance for STU phones]</b>	\$6,000.00	\$7,056.00	\$7,200.00
<b>(e.) Information Security Sub-Total</b> (Sum of 3.a., 3.b., 3.c., & 3.d.)	<del>0-</del> <sup>ACT</sup> 6,000	-0-	-0-
<b>4. Professional Education, Training and Awareness</b>	-0-	-0-	-0-
<b>5. Security Management, Oversight and Planning</b>	-0-	-0-	-0-
<b>6. Unique Items</b>			
<b>Totals: Fiscal Year Estimates</b> (Sum of 1, 2, 3(e.), 4, 5, & 6.)	\$66,200.00	\$14,256.00	\$109,000.00

**NARRATIVE:** Other than a stand-alone laptop computer we plan to purchase in FY 05 for classified, the alarm system and monitoring of our classified storage areas for FY 2004, FY 2005, and FY 2006, the maintenance costs for STU phones, plus the actual cost for construction of our EOC in FY 2004, and the estimated portion of the Department's cost to construct an alternate EOC site in FY 2006 that will be shared with other agencies, we do not devote 51% or more of a resource to security classification related activities.

OK



UNITED STATES DEPARTMENT OF EDUCATION

OFFICE OF MANAGEMENT

ASSISTANT SECRETARY

MAR 31 2006

Mr. J. William Leonard  
Director  
Information Security Oversight Office  
National Archives and Records Administration  
700 Pennsylvania Avenue, NW  
Washington, DC 20408-0001

Dear Mr. Leonard:

This is in response to your February 17, 2006, letter requesting the Department of Education's "Report on Cost Estimates for Security Classification Activities." Enclosed is our Security Costs Estimates Display form.

As you know, the Department of Education does not have original classification authority. Our expenditures related to security classification activities are for the construction of an alternate Emergency Operations Center that will be shared by two or more agencies, otherwise we do not devote 51% or more of a resource to such activities.

If you have any questions concerning the Department's security classification-related activities, please do not hesitate to contact Ms. Winona Varnon, Director of Security Services, at 202-401-1583.

Sincerely,

  
Michelle Clark

Enclosure

# Security Costs Estimates Display

Name of Department/Agency: Education Department

(Please use actual dollar figures instead of thousands)

Reporting Categories	FY 2005	FY 2006	FY 2007
1. Personnel Security	-0-	-0-	-0-
2. Physical Security	-0-	\$101,800.00	-0-
3. Information Security			
(a.) Classification Management	-0-	-0-	-0-
(b.) Declassification	-0-	-0-	-0-
(c.) Information Systems Security for Classified Information	-0-	-0-	-0-
(d.) Miscellaneous (OPSEC & TSCM)	-0-	-0-	-0-
(e.) Information Security Sub-Total (Sum of 3.a., 3.b., 3.c., & 3.d.)	-0-	-0-	-0-
4. Professional Education, Training and Awareness	-0-	-0-	-0-
5. Security Management, Oversight and Planning	-0-	-0-	-0-
6. Unique Items	-0-	-0-	-0-
Totals: Fiscal Year Estimates (Sum of 1, 2, 3(e.), 4, 5, & 6.)	-0-	\$101,800.00	-0-

**NARRATIVE:** The \$101,800.00 for FY 2006 is the estimated portion of the Department's cost to construct an alternate EOC site, a cost that will be shared with other agencies. The Department of Education does not devote 51% or more of a resource to security classification related activities.



UNITED STATES DEPARTMENT OF EDUCATION

OFFICE OF MANAGEMENT

ASSISTANT SECRETARY

MAR 19 2007

Mr. J. William Leonard  
Director  
Information Security Oversight Office  
National Archives and Records Administration  
700 Pennsylvania Avenue, NW  
Washington, DC 20408-0001

Dear Mr. Leonard:

This is in response to your January 25, 2007, letter requesting the Department of Education's "Report on Cost Estimates for Security Classification Activities" for Fiscal Year 2006. Enclosed is our Report on Security Costs Estimates Display form.

As you know, the Department of Education does not have original classification authority. Our expenditures related to security classification activities are for four secured sites for Emergency Operations Centers. In the aggregate we do not have 51% or more of a resource devoted to security classification-related activities.

If you have any questions concerning the Department of Education's security classification-related activities, please do not hesitate to contact Ms. Winona Varnon, Director of Security Services, at (202) 401-1583.

Sincerely,

  
Mitchell Clark

Enclosure

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MAR 22 2007

BY: .....

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# Security Costs Estimates Display

Name of Department/Agency: Department of Education

(Please use actual dollar figures instead of thousands)

Reporting Categories	FY 2006
1. Personnel Security	-0-
2. Physical Security	\$223,506.00
3. Information Security	
(a.) Classification Management	-0-
(b.) Declassification	-0-
(c.) Information Systems Security for Classified Information	-0-
(d.) Miscellaneous (OPSEC & TSCM)	-0-
(e.) Information Security Sub-Total (Sum of 3.a., 3.b., 3.c., & 3.d.)	-0-
4. Professional Education, Training and Awareness	-0-
5. Security Management, Oversight and Planning	-0-
6. Unique Items	-0-
<b>Totals: Fiscal Year Estimates</b> (Sum of 1, 2, 3(e.), 4, 5, & 6.)	\$223,506.00

## NARRATIVE:

- The total FY 2006 cost for the purchase of the initial EOC site and the classification is a total of \$223,506, which includes the cost of the sound continuation rooms that are TS collateral certified for processing – and the cost of secured faxes and STE (secured telephone equipment) – the cost increased because we expanded from one secured site to four sites. This amount includes the cost of the secured faxes (\$15,788.00) and STU phone maintenance (\$1,944.00).
- The Department of Education does not devote 51% or more of a resource to classification related activities.





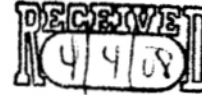
UNITED STATES DEPARTMENT OF EDUCATION

OFFICE OF MANAGEMENT

ASSISTANT SECRETARY

MAR 28 2008

Mr. William J. Bosanko  
Acting Director  
Information Security Oversight Office  
National Archives and Records Administration  
700 Pennsylvania Avenue, NW  
Washington, DC 20408-0001




Dear Mr. Bosanko:

This is in response to your letter requesting the Department of Education's report on cost estimates for security classification activities for Fiscal Year 2007. Enclosed is our "Security Costs Estimates Display" form for Fiscal Year 2007.

As you know, the Department of Education does not have original classification authority. Our expenditures related to security classification activities are for four secured sites for Emergency Operations Centers. In the aggregate we do not have 51% or more of a resource devoted to security classification-related activities.

If you have any questions concerning the Department of Education's security classification-related activities, please do not hesitate to contact Ms. Winona Varnon, Director of Security Services, at (202) 401-1583.

Sincerely,

  
Michelle Clark

Enclosure

# Security Costs Estimates Display

Name of Department/Agency: Department of Education

(Please use actual dollar figures instead of thousands)

Reporting Categories	FY 2007
1. Personnel Security	-0-
2. Physical Security	\$127,016.00
3. Information Security	
(a.) Classification Management	-0-
(b.) Declassification	-0-
(c.) Information Systems Security for Classified Information	-0-
(d.) Miscellaneous (OPSEC & TSCM)	-0-
(e.) Information Security Sub-Total (Sum of 3.a., 3.b., 3.c., & 3.d.)	-0-
4. Professional Education, Training and Awareness	-0-
5. Security Management, Oversight and Planning	-0-
6. Unique Items	-0-
<b>Totals: Fiscal Year Estimates</b> (Sum of 1, 2, 3(e.), 4, 5, & 6.)	\$127,016.00

## NARRATIVE:

- The total FY 2007 estimates is for GSA to install a Portable Acoustics Booth (\$125,000.00), and STU phone maintenance (\$2,016.00).
- The Department of Education does not devote 51% or more of a resource to classification related activities.



UNITED STATES DEPARTMENT OF EDUCATION  
OFFICE OF MANAGEMENT

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Mr. William J. Bosanko  
Director  
Information Security Oversight Office  
National Archives and Records Administration  
700 Pennsylvania Avenue, NW  
Washington, DC 20408-0001

February 17, 2009

Dear Mr. Bosanko:

This is in response to your October 1, 2008, letter requesting the Department of Education's "Report on Cost Estimates for Security Classification Activities." Enclosed is our Security Cost Estimates Display form.

As you know, the Department of Education does not have original classification authority. Our expenditures related to security classification activities are the \$328,000 includes the addition Secure Video Teleconference equipment to our primary Emergency Operation Center that is shared by two or more agencies, otherwise we do not devote 51% or more of a resource to such activities.

If you have any questions concerning the Department's security classification-related activities, please do not hesitate to contact James Simmons, Security Specialist, at 202 205-3336.

Sincerely,

Winona Varnon

Enclosure

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MAR 2 2009

BY: \_\_\_\_\_

# Security Costs Estimates Display

Name of Department/Agency: U. S. Department of Education

(Please use actual dollar figures instead of thousands)

Reporting Categories	FY 2008
1. Personnel Security	0
2. Physical Security	0
3. Information Security	
(a.) Classification Management	0
(b.) Declassification	0
(c.) Information Systems Security for Classified Information	328,000
(d.) Miscellaneous (OPSEC & TSCM)	5,500
4. Professional Education, Training and Awareness	
5. Security Management, Oversight and Planning	
6. Unique Items	
<b>Totals: Fiscal Year Estimates</b> (Sum of 1, 2, 3(a,b,c,d), 4, 5, & 6.)	333,500

**NARRATIVE:** The 328,000 includes the addition of the Secure Video Tele Conference (VTC) equipment to our primary Emergency Operations Center (EOC) Site. The Department of Education does not devote 51% or more of a resource to security classification related activities.



UNITED STATES DEPARTMENT OF EDUCATION  
OFFICE OF MANAGEMENT

Mr. William J. Bosanko  
Director  
Information Security Oversight Office  
National Archives and Records Administration  
700 Pennsylvania Avenue, NW  
Washington, DC 20408-0001

February 17, 2010

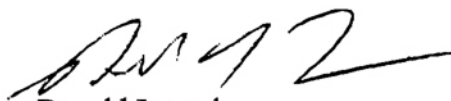
Dear Mr. Bosanko:

This is in response to your December 11, 2009, letter requesting the Department of Education's "Report on Cost Estimates for Security Classification Activities." Enclosed is our Security Cost Estimates Display form.

As you know, the Department of Education does not have original classification authority. Our expenditures related to security classification activities are the \$98,000 includes the addition upgrade COMSEC at two of our Emergency Operation Centers that is shared by two or more agencies, otherwise we do not devote 51% or more of a resource to such activities.

If you have any questions concerning the Department's security classification-related activities, please do not hesitate to contact James Simmons, Security Specialist, at 202 205-3336.

Sincerely,

  
Ronald Luczak  
Acting Director, Security Services

Enclosure

## Security Costs Estimates Display

Name of Department/Agency: Department of Education

Point of Contact (Name/phone number): JAMES SIMMONS 202 205-3336

(Please use actual dollar figures instead of thousands)

Reporting Categories	FY 2009
1. Personnel Security	54,000.00
2. Physical Security	12,000.00
3. Information Security	
(a) Classification Management	
(b) Declassification	
(c) Information Systems Security for Classified Information	98,000.00
(d) Miscellaneous (OPSEC & TSCM)	41,000.00
4. Professional Education, Training, and Awareness	
5. Security Management, Oversight, and Planning	
6. Unique Items	
<b>Totals: Fiscal Year Estimates</b> <small>(Sum of 1, 2, 3(a), 3(b), 3(c), 3(d), 4, 5, &amp; 6)</small>	205,000.00

**NARRATIVE:** These figures include upgrade of COMSEC Equipment at two locations. The Department of Education does NOT devote 51% or more of a resource to Security Classification related activities.





UNITED STATES DEPARTMENT OF EDUCATION

OFFICE OF MANAGEMENT

Mr. William J. Bosanko  
Director  
Information Security Oversight Office  
National Archives and Records Administration  
700 Pennsylvania Avenue, NW  
Washington, DC 20408-0001

February 1, 2010

Dear Mr. Bosanko:

This is in response to your December 21, 2010, letter requesting the Department of Education's "Report on Cost Estimates for Security Classification Activities." Enclosed is our Security Cost Estimates Display form.

As you know, the Department of Education does not have original classification authority. Our expenditures related to security classification activities are the \$205,000 includes the addition Secure Video Teleconference equipment to our primary Emergency Operation Center that is shared by two or more agencies; otherwise we do not devote 51% or more of a resource to such activities.

If you have any questions concerning the Department's security classification-related activities, please do not hesitate to contact James Simmons, Security Specialist, at 202 205-3336.

Sincerely,

A handwritten signature in black ink, appearing to read "Ron Luczak", written over a horizontal line.

Ronald Luczak  
Deputy Director of Security Services

Enclosure

400 MARYLAND AVE., SW WASHINGTON, D.C. 20202-1510

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## Security Costs Estimates

Department/Agency: **Department of Education**

Fiscal Year: **2010**

Point of Contact:  
(Name and phone  
number)

**James Simmons 202 205-3336**

### Reporting Categories

(Please use actual dollar figures instead of thousands)

#### 1. Personnel Security

**\$54,000.00**

(Include clearance program, initial investigations, national agency checks when used for basis for granting a clearance, adjudication, reinvestigation, polygraph associated with classification related activities)

#### 2. Physical Security

**\$12,000.00**

(Include physical security equipment, protective forces, intrusion detection and assessment, barrier/controls, tamper-safe monitoring, access control/badging, visitor control associated with classification related activities)

#### 3. Information Security

(only report costs associated with classification related activities)

##### (a) Classification Management

(include resources used to identify, control, transfer, transmit, retrieve, inventory, archive, declassify, or destroy classified information)

##### (b) Declassification

(include resources used to identify and process information subject to the automatic, systematic, or mandatory review programs authorized by Executive order or statute)

##### (c) Information Systems Security for Classified Information

**\$98,000.00**

(include resources used to protect information systems from unauthorized access or modification of information, and against the denial of service to authorized users, including measures necessary to detect, document, and counter such threats)

##### (d) Miscellaneous (OPSEC and TSCM)

**\$41,000.00**

(include personnel and operating expenses associated with these programs)

#### 4. Professional Education, Training, and Awareness

(include resources used to establish, maintain, direct, support, and assess an information security training and awareness program; certification and approval of the training program; development, management, and maintenance of training records; training of personnel to perform tasks; and qualification and/or certification of personnel associated with classification related activities)

#### 5. Security Management, Oversight, and Planning

(include resources associated with research, test, and evaluation; surveys, reviews, accreditation, and assessments; special access programs; security and investigative matters; industrial security; and foreign ownership, control, or influence (FOCI))

#### 6. Unique Items

(include department/agency-specific activities not reported in any of the categories listed above but are nonetheless significant and need to be included)

**Total (sum of 1, 2, 3(a), 3(b), 3(c), 3(d), 4, 5, and 6)**

**\$205,000.00**

**Narrative:** provide a brief explanation of any significance difference between last year's and this year's cost estimates. Explain items entered into Block 6, Unique Items.

**These figures include upgrade of COMSEC equipment at two locations. The Department of Education does not devote 51% or more of a resource to Security Classification related activities.**



# AGENCY SECURITY CLASSIFICATION COSTS ESTIMATES

Department/Agency: U. S. Department of Education

Fiscal Year: 2011

**Point of Contact:**

(Name and phone number) James Simmons 202 205-3336

## Reporting Categories

Please use actual dollar figures.

**1. Personnel Security**

(include clearance program, initial investigations, national agency checks when used as basis for granting a clearance, adjudication, reinvestigation, polygraph associated with classification-related activities)

\$60,000.00

**2. Physical Security**

(include physical security equipment, protective forces, intrusion detection and assessment, barrier/controls, tamper-safe monitoring, access control/badging, visitor control associated with classification-related activities)

\$15,000.00

**3. Classification Management**

(include resources used to identify, control, transfer, transmit, retrieve, inventory, archive, declassify, or destroy classified information)

**4. Declassification**

(include resources used to identify and process information subject to the automatic, systematic, discretionary, or mandatory review programs authorized by Executive Order or Statute)

**5. Protection and Maintenance for Classified Information Systems**

(include resources used to protect and maintain classified information systems from unauthorized access or modification of information, and against the denial of service to authorized users, including measures necessary to detect, document, and counter such threats)

\$99,150.00

**6. Operations Security and Technical Surveillance Countermeasures**

(include personnel and operating expenses associated with OPSEC and TSCM)

\$42,000.00

**7. Professional Education, Training, and Awareness**

(include resources used to establish, maintain, direct, support, and assess an information security training and awareness program; certification and approval of the training program; development, management, and maintenance of training records; training of personnel to perform tasks; and qualification and/or certification of personnel associated with classification-related activities)

**8. Security Management, Oversight, and Planning**

(include resources associated with research, test, and evaluation; surveys, reviews, accreditation, and assessments; special access programs; security and investigative matters; industrial security; and foreign ownership, control, or influence (FOCI))

**9. Unique Items**

(include department/agency-specific activities not reported in any of the categories listed above, but are nonetheless significant and need to be included)

**TOTAL**

(sum of items 1-9)

\$216,150.00

**Narrative:** Provide a brief explanation of any significant difference between last year's and this year's cost estimates. Explain items entered into block 9, Unique Items.

These figures include upgrade of COMSEC equipment at two locations. The Department of Education does not devote 51% or more of a resource to Security Classification related activities.



UNITED STATES DEPARTMENT OF EDUCATION  
OFFICE OF MANAGEMENT  
SECURITY SERVICES

FEB 07 2013

Mr. John P. Fitzpatrick  
Director  
Information Security Oversight Office  
National Archives and Records Administration  
700 Pennsylvania Avenue, NW  
Washington, DC 20408-0001



Dear Mr. Fitzpatrick:

This is in response to your November 14, 2012 letter requesting the Department of Education's "Report on Cost Estimates for Security Classification Activities." Enclosed is our Security Cost Estimates Form.

As you know, the Department of Education does not have original classification authority. Our expenditures related to security classification activities are \$265,723. This includes all of our Classified Information Systems costs. Otherwise we do not devote 51% or more of any resources to classification activities.

If your staff has any questions concerning the Department's security classification related activities, please contact Paul Lebo, Director, Personnel Security & Emergency Preparedness Division, Security Services, at 202-205-8123, [paul.lebo@ed.gov](mailto:paul.lebo@ed.gov) or James Simmons, Security Specialist, at 202-205-3336, [james.simmons@ed.gov](mailto:james.simmons@ed.gov).

Sincerely,

Ronald J. Luczak  
Director, Security Services

Enclosure

# AGENCY SECURITY CLASSIFICATION COSTS ESTIMATES

**Department/Agency:** U.S. Department of Education

**Fiscal Year:** 2012

**Point of Contact:**

(Name and phone number) Paul A. Lebo, 202-205-8123

**Reporting Categories**

Please use actual dollar figures.

**1. Personnel Security**

*(include clearance program, initial investigations, national agency checks when used as basis for granting a clearance, adjudication, reinvestigation, polygraph associated with classification-related activities)*

\$116,023.00

**2. Physical Security**

*(include physical security equipment, protective forces, intrusion detection and assessment, barrier/controls, tamper-safe monitoring, access control/badging, visitor control associated with classification-related activities)*

\$15,000.00

**3. Classification Management**

*(include resources used to identify, control, transfer, transmit, retrieve, inventory, archive, declassify, or destroy classified information)*

\$12,000.00

**4. Declassification**

*(include resources used to identify and process information subject to the automatic, systematic, discretionary, or mandatory review programs authorized by Executive Order or Statute)*

**5. Protection and Maintenance for Classified Information Systems**

*(include resources used to protect and maintain classified information systems from unauthorized access or modification of information, and against the denial of service to authorized users, including measures necessary to detect, document, and counter such threats)*

\$57,700.00

**6. Operations Security and Technical Surveillance Countermeasures**

*(include personnel and operating expenses associated with OPSEC and TSCM)*

\$52,000.00

**7. Professional Education, Training, and Awareness**

*(include resources used to establish, maintain, direct, support, and assess an information security training and awareness program; certification and approval of the training program; development, management, and maintenance of training records; training of personnel to perform tasks; and qualification and/or certification of personnel associated with classification-related activities)*

\$5,000.00

**8. Security Management, Oversight, and Planning**

*(include resources associated with research, test, and evaluation; surveys, reviews, accreditation, and assessments; special access programs; security and investigative matters; industrial security; and foreign ownership, control, or influence (FOCI))*

\$8,000.00

**9. Unique Items**

*(include department/agency-specific activities not reported in any of the categories listed above, but are nonetheless significant and need to be included)*

**TOTAL**

*(sum of items 1-9)*

\$265,723.00

**Narrative:** Provide a brief explanation of any significant difference between last year's and this year's cost estimates. Explain items entered into block 9, Unique Items



UNITED STATES DEPARTMENT OF EDUCATION

OFFICE OF MANAGEMENT

February 28, 2014

Mr. John P. Fitzpatrick  
Director  
Information Security Oversight Office  
National Archives and Records Administration  
700 Pennsylvania Avenue, NW  
Washington, DC 20408-0001

FY 2013

Dear Mr. Fitzpatrick

This is in response to your November 18, 2013, letter requesting the Department of Education's "Report on Cost Estimates for Security Classification Activities." Enclosed is our Security Cost Estimates Display form.

As you know, the Department of Education does not have original classification authority. Our expenditures related to security classification activities are \$290,641.00. This includes all of our Classified Information Systems cost. The Department does not devote 51% or more of any resources to classification activities.

If you have any questions concerning the Department's security classification-related activities, please do not hesitate to contact Paul Lebo, Director, Personnel Security & Emergency Preparedness Division, Security Services at 202 205-8123, [paul.lebo@ed.gov](mailto:paul.lebo@ed.gov) or James Simmons, Security Specialist, at 202 205-3336, [james.simmons@ed.gov](mailto:james.simmons@ed.gov).

Sincerely,

Ronald J. Luczak  
Director Security Services

Enclosure

QC : Taylor  
3 MAR 14

400 MARYLAND AVE., SW WASHINGTON, D.C. 20202-1510

*Our mission is to ensure equal access to education and to promote educational excellence throughout the Nation.*



## AGENCY SECURITY CLASSIFICATION COSTS ESTIMATES

<b>Department/Agency:</b> U. S. Department of Education	<b>Fiscal Year:</b> 2013
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<b>Point of Contact:</b> (Name and phone number) Paul A. Lebo, 202 205-8123
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### Reporting Categories

Please use actual dollar figures.

<b>1. Personnel Security</b> <i>(include clearance program, initial investigations, national agency checks when used as basis for granting a clearance, adjudication, reinvestigation, polygraph associated with classification-related activities)</i>	\$131,641.00
<b>2. Physical Security</b> <i>(include physical security equipment, protective forces, intrusion detection and assessment, barrier/controls, tamper-safe monitoring, access control/badging, visitor control associated with classification-related activities)</i>	\$15,000.00
<b>3. Classification Management</b> <i>(include resources used to identify, control, transfer, transmit, retrieve, inventory, archive, declassify, or destroy classified information)</i>	\$11,000.00
<b>4. Declassification</b> <i>(include resources used to identify and process information subject to the automatic, systematic, discretionary, or mandatory review programs authorized by Executive Order or Statute)</i>	
<b>5. Protection and Maintenance for Classified Information Systems</b> <i>(include resources used to protect and maintain classified information systems from unauthorized access or modification of information, and against the denial of service to authorized users, including measures necessary to detect, document, and counter such threats)</i>	\$71,000.00
<b>6. Operations Security and Technical Surveillance Countermeasures</b> <i>(include personnel and operating expenses associated with OPSEC and TSCM)</i>	\$49,000.00
<b>7. Professional Education, Training, and Awareness</b> <i>(include resources used to establish, maintain, direct, support, and assess an information security training and awareness program; certification and approval of the training program; development, management, and maintenance of training records; training of personnel to perform tasks; and qualification and/or certification of personnel associated with classification-related activities)</i>	\$5,000.00
<b>8. Security Management, Oversight, and Planning</b> <i>(include resources associated with research, test, and evaluation; surveys, reviews, accreditation, and assessments; special access programs; security and investigative matters; industrial security; and foreign ownership, control, or influence (FOCI))</i>	\$8,000.00
<b>9. Unique Items</b> <i>(include department/agency-specific activities not reported in any of the categories listed above, but are nonetheless significant and need to be included)</i>	
<b>TOTAL</b> <i>(sum of items 1-9)</i>	\$290,641.00

<b>Narrative:</b> Provide a brief explanation of any significant difference between last year's and this year's cost estimates. Explain items entered into block 9, Unique Items.
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2 MARCH 2015



UNITED STATES DEPARTMENT OF EDUCATION  
OFFICE OF MANAGEMENT  
SECURITY SERVICES

Mr. John P. Fitzpatrick  
Director  
Information Security Oversight Office  
National Archives and Records Administration  
700 Pennsylvania Avenue, NW  
Washington, DC 20408-0001

Dear Mr. Fitzpatrick:

This is in response to your December 1, 2014 letter requesting the Department of Education's "Report on Cost Estimates for Security Classification Activities." Enclosed is our Security Cost Estimates Form.

As you know, the Department of Education does not have original classification authority. Our expenditures related to security classification activities are \$504,205. This includes all of our Classified Information Systems costs. Otherwise we do not devote 51% or more of any resources to classification activities.

If your staff has any questions concerning the Department's security classification related activities, please contact Paul Lebo, Director, Personnel Security & Emergency Preparedness Division, Security Services, at 202-205-8123, [paul.lebo@ed.gov](mailto:paul.lebo@ed.gov) or Eric Henry, Security Specialist, at 202-453-6685, [eric.henry@ed.gov](mailto:eric.henry@ed.gov).

Sincerely,

A handwritten signature in black ink, appearing to read "RL 12", written over a horizontal line.

Ronald J. Luczak  
Director of Security Services

Enclosure

400 MARYLAND AVE., SW WASHINGTON, D.C. 20202-1510

*Our mission is to ensure equal access to education and to promote educational excellence throughout the Nation.*

# AGENCY SECURITY CLASSIFICATION COSTS ESTIMATES

**Department/Agency:** U.S. Department of Education

**Fiscal Year:** 2014

**Point of Contact:**

(Name and phone number) Paul Lebo, 202-205-8123 or Eric Henry, 202-453-6685

**Reporting Categories**

Please use actual dollar figures.

**1. Personnel Security**

\$123,205.00

*(include clearance program, initial investigations, national agency checks when used as basis for granting a clearance, adjudication, reinvestigation, polygraph associated with classification-related activities)*

**2. Physical Security**

\$15,000.00

*(include physical security equipment, protective forces, intrusion detection and assessment, barrier/controls, tamper-safe monitoring, access control/badging, visitor control associated with classification-related activities)*

**3. Classification Management**

\$12,000.00

*(include resources used to identify, control, transfer, transmit, retrieve, inventory, archive, declassify, or destroy classified information)*

**4. Declassification**

*(include resources used to identify and process information subject to the automatic, systematic, discretionary, or mandatory review programs authorized by Executive Order or Statute)*

**5. Protection and Maintenance for Classified Information Systems**

\$285,000.00

*(include resources used to protect and maintain classified information systems from unauthorized access or modification of information, and against the denial of service to authorized users, including measures necessary to detect, document, and counter such threats)*

**6. Operations Security and Technical Surveillance Countermeasures**

\$52,000.00

*(include personnel and operating expenses associated with OPSEC and TSCM)*

**7. Professional Education, Training, and Awareness**

\$9,000.00

*(include resources used to establish, maintain, direct, support, and assess an information security training and awareness program; certification and approval of the training program; development, management, and maintenance of training records; training of personnel to perform tasks; and qualification and/or certification of personnel associated with classification-related activities)*

**8. Security Management, Oversight, and Planning**

\$8,000.00

*(include resources associated with research, test, and evaluation; surveys, reviews, accreditation, and assessments; special access programs; security and investigative matters; industrial security; and foreign ownership, control, or influence (FOCI))*

**9. Unique Items**

*(include department/agency-specific activities not reported in any of the categories listed above, but are nonetheless significant and need to be included)*

**TOTAL**

\$504,205.00

*(sum of items 1-9)*

**Narrative:** Provide a brief explanation of any significant difference between last year's and this year's cost estimates. Explain items entered into block 9, Unique Items.

The only significant increase was on the Protection and Maintenance of Classified Systems. We increased the number of systems that we had this Fiscal Year. The installation fees were paid this fiscal year which caused the increase.



**UNITED STATES DEPARTMENT OF EDUCATION**  
OFFICE OF MANAGEMENT

02/16/2016

Mr. John P. Fitzpatrick  
Director  
Information Security Oversight Office  
National Archives and Records Administration  
700 Pennsylvania Avenue, NW  
Washington, DC 20408-0001

Dear Mr. Fitzpatrick,

This letter is in response to your office's request to report on the effectiveness of the U.S. Department of Education's Security Classification Cost Estimates, required by Executive Order 13526. Enclosed you will find the Agency Security Classification Cost Estimates Data: FY 2015 for the U.S. Department of Education.

If there are any questions or concerns, please have a member of your staff contact Eric Henry, Security Specialist, at 202-453-6685, [eric.henry@ed.gov](mailto:eric.henry@ed.gov).

Sincerely,

A handwritten signature in dark ink, appearing to read "RL", is written over the typed name.

Ronald J. Luczak

Director, Security, Facilities, and Logistics Services



## AGENCY SECURITY CLASSIFICATION COSTS ESTIMATES

**Department/Agency:** U.S. Department of Education

**Fiscal Year:** 2015

**Point of Contact:**

(Name and phone number) Eric Henry, 202 453-6685

**Reporting Categories**

Please use actual dollar figures.

**1. Personnel Security**

(include clearance program, initial investigations, national agency checks when used as basis for granting a clearance, adjudication, reinvestigation, polygraph associated with classification-related activities)

\$107,072.00

**2. Physical Security**

(include physical security equipment, protective forces, intrusion detection and assessment, barrier/controls, tamper-safe monitoring, access control/badging, visitor control associated with classification-related activities)

\$15,000.00

**3. Classification Management**

(include resources used to identify, control, transfer, transmit, retrieve, inventory, archive, declassify, or destroy classified information)

\$12,000.00

**4. Declassification**

(include resources used to identify and process information subject to the automatic, systematic, discretionary, or mandatory review programs authorized by Executive Order or Statute)

\$0.00

**5. Protection and Maintenance for Classified Information Systems**

(include resources used to protect and maintain classified information systems from unauthorized access or modification of information, and against the denial of service to authorized users, including measures necessary to detect, document, and counter such threats)

\$178,936.00

**6. Operations Security and Technical Surveillance Countermeasures**

(include personnel and operating expenses associated with OPSEC and TSCM)

\$62,000.00

**7. Professional Education, Training, and Awareness**

(include resources used to establish, maintain, direct, support, and assess an information security training and awareness program; certification and approval of the training program; development, management, and maintenance of training records; training of personnel to perform tasks; and qualification and/or certification of personnel associated with classification-related activities)

\$9,000.00

**8. Security Management, Oversight, and Planning**

(include resources associated with research, test, and evaluation; surveys, reviews, accreditation, and assessments; special access programs; security and investigative matters; industrial security; and foreign ownership, control, or influence (FOCI))

\$8,000.00

**9. Unique Items**

(include department/agency-specific activities not reported in any of the categories listed above, but are nonetheless significant and need to be included)

**TOTAL**

(sum of items 1-9)

\$392,008.00

**Narrative:** Provide a brief explanation of any significant difference between last year's and this year's cost estimates. Explain items entered into block 9, Unique Items.



**UNITED STATES DEPARTMENT OF EDUCATION**  
OFFICE OF MANAGEMENT

02/23/2017

Mr. William Circa  
Director  
Information Security Oversight Office  
National Archives and Records Administration  
700 Pennsylvania Avenue, NW  
Washington, DC 20408-0001

Dear Mr. Circa,

This letter is in response to your office's request to report on the effectiveness of the U.S. Department of Education's Classification Cost Estimates, required by Executive Order 13526. Enclosed you will find the Agency Security Classification Cost Estimates Data: FY 2016 for the U.S. Department of Education.

If there are any questions or concerns, please have a member of your staff contact Eric Henry, Security Specialist, at 202-453-6685, [eric.henry@ed.gov](mailto:eric.henry@ed.gov).

Sincerely,

A handwritten signature in black ink, appearing to read "RL 1/2", written over a horizontal line.

Ronald J. Luczak  
Director, Security, Facilities, and Logistics Services

# AGENCY SECURITY CLASSIFICATION COSTS ESTIMATES

<b>Department/Agency:</b> U.S. Department of Education	<b>Fiscal Year:</b> 2016
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<b>Point of Contact:</b> (Name and phone number) Eric Henry, 202 453-6685
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## Reporting Categories

Please use actual dollar figures.

<b>1. Personnel Security</b> <i>(include clearance program, initial investigations, national agency checks when used as basis for granting a clearance, adjudication, reinvestigation, polygraph associated with classification-related activities)</i>	\$109,189.00
<b>2. Physical Security</b> <i>(include physical security equipment, protective forces, intrusion detection and assessment, barrier/controls, tamper-safe monitoring, access control/badging, visitor control associated with classification-related activities)</i>	\$16,000.00
<b>3. Classification Management</b> <i>(include resources used to identify, control, transfer, transmit, retrieve, inventory, archive, declassify, or destroy classified information)</i>	\$12,500.00
<b>4. Declassification</b> <i>(include resources used to identify and process information subject to the automatic, systematic, discretionary, or mandatory review programs authorized by Executive Order or Statute)</i>	\$0.00
<b>5. Protection and Maintenance for Classified Information Systems</b> <i>(include resources used to protect and maintain classified information systems from unauthorized access or modification of information, and against the denial of service to authorized users, including measures necessary to detect, document, and counter such threats)</i>	\$180,182.00
<b>6. Operations Security and Technical Surveillance Countermeasures</b> <i>(include personnel and operating expenses associated with OPSEC and TSCM)</i>	\$63,102.00
<b>7. Professional Education, Training, and Awareness</b> <i>(include resources used to establish, maintain, direct, support, and assess an information security training and awareness program; certification and approval of the training program; development, management, and maintenance of training records; training of personnel to perform tasks; and qualification and/or certification of personnel associated with classification-related activities)</i>	\$10,500.00
<b>8. Security Management, Oversight, and Planning</b> <i>(include resources associated with research, test, and evaluation; surveys, reviews, accreditation, and assessments; special access programs; security and investigative matters; industrial security; and foreign ownership, control, or influence (FOCI))</i>	\$8,800.00
<b>9. Unique Items</b> <i>(include department/agency-specific activities not reported in any of the categories listed above, but are nonetheless significant and need to be included)</i>	
<b>TOTAL</b> <i>(sum of items 1-9)</i>	\$400,273.00

**Narrative:** Provide a brief explanation of any significant difference between last year's and this year's cost estimates. Explain items entered into block 9, Unique Items.